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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney	Docket No.	403033/HOEGE

Client Reference No.

First Inventor Wilfried ENSINGER

RETAINING RING FOR HOLDING SEMICONDUCTOR WAFERS IN A CHEMICAL MECHANICAL

Title POLISHING APPARATUS

Express Mail Label No. Mail Stop Patent Application Commissioner for Patents ADDRESS TO: APPLICATION ELEMENTS P.O. Box 1450 Alexandria, VA 22313-1450 **ACCOMPANYING APPLICATION PARTS** Utility Patent Application Transmittal Form 10. Applicant requests early publication. Applicant claims small entity status. See (include publication fee under 37 CFR 37 CFR 1.27. 1.18(d)) Specification (including claims and 3. 11. Assignment Papers abstract) [Total Pages 14] [Total Sheets 7] (cover sheet and document(s)) □ Drawings 37 CFR 3.73(b) Statement (when there Combined Declaration and Power of Attorney [Total Pages is an Assignee)] 13. Power of Attorney □ Newly executed 14. English Translation Document (if Copy from prior application applicable) [Note Box 6 below] 15. Information Disclosure Statement (IDS) Deletion of Inventor(s) Signed Form PTO-1449 statement attached deleting inventor(s) ☐ Copies of References (except for U.S. named in the prior application patents and applications) 6. Incorporation by Reference: The entire **Preliminary Amendment** disclosure of the prior application, from 17. X Return Receipt Postcard which an oath or declaration is supplied (Should be specifically itemized) under Box 5b is considered as part of the disclosure of the accompanying application Priority Document(s) and is hereby incorporated by reference. 19. Request & Certification Under 35 USC Application Data Sheet. See 37 CFR 1.76 122(b)(2)(B)(i) (Form PTO/SB/35 or its ☐ CD-ROM or CD-R in duplicate, large table equivalent attached) or Computer Program (Appendix) 20. Other: 9. Nucleotide and/or Amino Acid Sequence a. Computer Readable Form (CRF) Specification Sequence Listing on: ☐ CD-ROM or CD-R (2 copies); or ☐ Paper Copy Statement verifying identity of above If a CONTINUING APPLICATION, check appropriate box and supply the requisite information 21. ☐ Continuation ☐ Divisional ☒ Continuation-in-part of prior application no. 10/322,428. Prior application information: Examiner Lee D. Wilson; Group Art Unit: 3723 22. CORRESPONDENCE ADDRESS Jeremy M. Jay, Reg. No. 33,587 Leydig, Voit & Mayer Suite 300 23548 700 Thirteenth Street, N.W. Washington, D.C. 20005-3960 (202) 737-6770 (telephone) (202) 737-6776 (facsimile) <u>√eremy M. Jay, R**∳g**</u> No. 33,587 Name Signature Date il 1, 200